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FORM PTO-1390 (REV. 10-2003)

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER ABB10043P0013US 10/552842

| | | CONCERNING A FILING UNDER 35 U.S.C. 371 |
|---|-------------|--|
| INTE | RNA | TIONAL APPLICATION NO. PCT/EP2004/003872 INTERNATIONAL FILING DATE 04.13.2004 PRIORITY DATE CLAIMED 04.14.2003 |
| TITLE OF APPLICATION: N-[(piperazinyl)hetaryl]arylsulfonamide Compounds with Affinity for the Dopamine D³ Receptor | | |
| APP | LICAI | NT(S) FOR DO/EO/US: Abbott GmbH & Co. KG. |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| 1. | ☒ | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. |
| 2. | | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. |
| 3. | ☒ | This is an express request to begin national examination procedures (35 U.S.C. 371(f). The submission must include items (5), (6), (9) and (21) indicated below. |
| 4. | \boxtimes | The US has been elected. (Article 31). |
| 5. | \boxtimes | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) |
| | | a. \Box is attached hereto (required only if not communicated by the International Bureau). |
| | | b. \Box has been communicated by the International Bureau. |
| | | c. 🗵 is not required, as the application was filed in the United States Receiving Office (RO/US). |
| 6. | | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). |
| | | □ is attached hereto |
| | | has been previously submitted under 35 U.S.C. 154(d)(4). |
| 7. | Ø | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). |
| | • | a. \square are attached hereto (required only if not communicated by the International Bureau). |
| | Ċ | b. have been communicated to the International Bureau |
| | | c. \square have not been made; however, the time for making such amendments has NOT expired. |
| | | d. 🗵 have not been made and will not be made. |
| 8. | | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). |
| 9. | × | An oath or unexecuted declaration of the inventor(s) (35 U.S.C. 371(c)(4)). |
| 10. | | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). |
| | | ns 11 to 20 below concern document(s) or information included: |
| 11. | <u>×</u> | An Information Disclosure Statement under 37 CFR 1.97 and 1.98. |
| 12. | | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. |
| 13. | \boxtimes | A preliminary amendment. |
| 14. | \boxtimes | An Application Data Sheet. |
| 15. | | A substitute specification. |
| 16. | | A power or attorney and/or change of address letter. |
| 17. | | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825. |
| 18. | | A second copy of the published international application under 35 U.S.C. (154(d)(4). |
| 19 | | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). |
| 20. | ⊠ | Other items of information. |
| | | A copy of the application as published; PCT/ISA/210 (January 2004) |
| | | |

INTERNATIONAL APPLICATION NO. ATTORNEY DOCKET NUMBER PCT/EP2004/003872 ABB10043P0013US 21. The following fees are submitted Applicant Use Office Use Only \$ 300.00 \$ 200.00 b) \$ 500.00 TOTAL OF ABOVE CALCULATIONS = \$1,000.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or faction thereof Number of Additional 50 or fraction thereof **Total Sheets** Extra Sheets Rate (round up to a whole number) - 100 = /50 x \$250.00 Surcharge of \$130.00 for furnishing oath or declaration later than 30 months from the earliest claimed \$ priority date (37 CFR 1.492(e)). **CLAIMS** NUMBER FILED NUMBER EXTRA RATE **Total Claims** \$ 450.00 -20 = x \$50.00 - 3 = \$ Independent claims 0 \$200.00 × \$ Multiple Dependent Claim(s) (if applicable) n + \$360.00 TOTAL OF ABOVE CALCULATIONS = \$ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). **TOTAL NATIONAL FEE** Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property 950.00 TOTAL FEES ENCLOSED = Amount to e refunded: Amount to be charged: A check in the amount of \$950.00 to cover the above fees is enclosed. Please charge Deposit Account No. 23-0785 in the amount of ___ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. b. \boxtimes The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-C. 0785. A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be d. included on this form. Provide credit card information and authorization on PTO-2038. Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed NOTE: and granted to restore the application to pending status. Send Correspondence to: **CUSTOMER NUMBER: 32116** WOOD, PHILLIPS, KATZ, CLARK & MORTIMER Citigroup Center, Suite 3800 500 West Madison Street Chicago, Illinois 60661-2511 October 11, 2005 Telephone: (312) 876-1800 Date Facsimile: (312) 876-2020

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Attorney Docket No.:

ABB10043P0013US

Applicant:

ABBOTT GmBH & Co. Kg.

For:

N-[(piperazinyl)arylsulfonamide Compounds with Affinity

for the Dopamine D3 Receptor

Serial No.:

PCT/EP/2004/003872

Filing Date:

October 11, 2005

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the enclosed **National Phase Application** and any other documents referred to as enclosed herein, are being deposited in an envelope with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria Virginia 22313-1450.

Express Mail Label No.:

576545443 US

Date of Deposit:

10-11-05

Typed/Printed

Name of Person Signing:

Stephanie Frain

Signature: